IFLA Section on Serial Publications

Newsletter No. 40, November 2001

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This Newsletter issue includes the Minutes of the Standing Committee Meeting of the IFLA Section on Serial Publications held on the occasion of the 67th IFLA Council and General Conference in Boston, Massachusetts, USA, in August 2001. In addition, thanks to our Standing Committee members, Ms Karen Darling and Helen Heinrich, a reminder has been compiled on the professional programme organized by the Section in conjunction with the Conference.

The revision process of the leading cataloguing codes for continuing resources is approaching to its final phase. The ISSN network, which committed itself to the harmonization efforts, held its latest Meeting in Bern, Switzerland, September 2001. Highlights of the main topics have been drafted by Alain Roucolle and Pierre Godefroy from the ISSN International Centre, Paris.

Minutes of the Standing Committee Meeting of the IFLA Section on Serial Publications
67th IFLA Council and General Conference, Boston, Massachusetts, USA, August 16-25, 2001

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Saturday, 18th August, 2001

Attendants:

SC members: Marjorie Bloss (Endeavor Info Systems, USA), Karen Darling (University of Missouri-Columbia, USA), Jean Farrington (Lehigh University, USA),), Elena Garcia-Puente Lillo (Biblioteca Nacional, Spain), Elizabeth Gazdag (ISSN Hungary), Helen Heinrich (Getty Research Library, USA), Agneta Holmenmark (National Library, Sweden), Nina Khakhaleva (Russian State Library), Cathérine Omont (Bibliothèque Nationale de France), Hartmut Walravens (Berlin State Library, Germany);

Observers: Christina McCanley (West Chester University, USA), Werner Schlacher (University Library Graz, Austria);

Regrets: Esther Skaarup (The Royal Library, Denmark), Françoise Pellé (Ex Officio Member, ISSN International Centre, France).

Introduction

Hartmut Walravens convened the first SC meeting by welcoming all present.

Some changes were made to the Agenda. Item 11, *Next IFLA Conference and Programme planning* was moved to 7a. Karen Darling suggested that as the meeting of the ISBD(CR) Revision Group will be the next day,

item 6. *ISBD(CR) Revision* should be moved to the second SC meeting on Friday. It was decided to have general comment today and to have the report at our next meeting.

The Agenda was approved as follows:
1   Introduction and approval of the Agenda
2   Approval of the Minutes of the previous Business Meeting
3   Election of officers
4   Report from the CB
5   Medium Term Programme / Action Plan
6   ISBD(CR) revision (Part 1)
7   Projects
7a   Next IFLA Conferences, programme planning
8   Other activities (publications, brochures, etc.)
9   Report from the Information Co-ordinator (website, newsletter etc.)
10   Financial report
11   ISBD(CR) revision (Part 2)
12   Miscellaneous

Approval of the Minutes of the previous Business Meeting

The Minutes of the Jerusalem Meeting were approved without any changes.

Election of officers

Marjorie Bloss was unanimously elected as Chair of the SC. Hartmut Walravens was unanimously elected as Secretary of the SC.

The need of an Information Co-ordinator was discussed. Elizabeth Gazdag explained the duties which are e.g. co-operation with the IFLANET management, editing and distributing newsletter issues, producing and distribution of the brochures. It was decided that it might be too much work either for the Chair or for the Secretary to take care of these matters. It was also stressed that Elizabeth Gazdag has done an excellent work as Information Co-ordinator. Elizabeth Gazdag was willing to continue as Information Co-ordinator. This position is not part of the formal election process and Elizabeth Gazdag was appointed by the Standing Committee.

Report from the Co-ordinating Board

- The homepage of Division V on IFLANET will be improved and it was decided that for instance the Minutes from the CB should be mounted on IFLANET.
- There is a discussion on having all business meetings either in the beginning or at the end of the General Conference in order to facilitate for committee members to make their stay a couple of days shorter, principally for financial reasons.
- The need of having a Professional Conference Organizer in addition to the National Organizing Committee was another subject under discussion.
- Division V has a new section, Reference Work. It was started as a Discussion Group in 1997.
- Deadline for submitting proposals for satellite meetings in Berlin will be March 2002, and for Glasgow: Wednesday, 22 August.
- The Professional Board has recently stated that Discussion Groups and Round Tables have a life span of four years. This is the first time restrictions for existence have been placed on Round Tables. After that they should break up or become a standing committee.

Comments from SC members on this issue:
Hartmut Walravens informed that he had contacted the IFLA HQ and explained the special circumstances for the Round Table on Newspapers. Marjorie Bloss emphasized that even if the newspaper interests are very

special there should be a discussion on bringing these issues closer to the Section on Serial Publications. It was decided that it is important to start a discussion concerning the rules and how they are implemented.

- It was reminded that the Financial Reports and the Strategic Plans from the Sections have to be submitted to the Division Chair by October 1st.
- The administrative money was discussed. Most standing committees are lucky to have institutions taking care of their administrative costs but not all and there was some concern about this fact.
- The question about how to handle the registration fee for invited speakers has been discussed. At this time, IFLA has speakers pay for registration when their only conference responsibility is to attend the meeting at which they are speaking. There has been considerable disagreement with this policy. The Division has a small amount of money to cover these costs.
- On the closing event there will be an evaluation of the professional programme of the conference by the Chair of the Professional Board, Ralph Manning. We were requested to pass the highlights to the Division Chair.
- A short presentation of the programmes of the different sections was made.
- The problem with SC members resigning between elections was discussed. It was decided that a resolution about developing a process to be able to fill vacancies should be submitted to the PB.
- The rules for getting simultaneous interpretation were discussed.
- Division Chair will be elected on an Extra CB Meeting on Sunday.

Medium Term Programme / Action Plan

The Professional Board has decided that the Medium Term Program should be replaced by a Strategic Plan based on a 2-year cycle. The SC should prepare a strategic plan covering the 2-year period 2002-2003. This will supersede the current Medium Term Program 1998-2001. The deadline for this strategic plan is October 2001.

Revision and update of the Medium Term Program for the SC on Serial Publications was made at an extra SC Meeting in Washington, in September 2000. Attendants were Elizabeth Gazdag, Agneta Holmenmark, Ester Skaarup and Hartmut Walravens. The document was then distributed to all SC members by e-mail. It was decided to prepare copies and postpone this item of the agenda to our next committee meeting. If there should not be time enough on Friday preparing a final document we might consider to continue the discussion by e-mail.

ISBD(CR) revision, Part 1

It was agreed on that the co-operation between ISBD(S), ISSN and the AACR2 is a step in the right direction. The revision groups have all worked hard to reach harmonization but they could perhaps have got closer. The major change and challenge is the new concept of continuing resources and the difficulties caused by the different recommendations from ISBD(CR), AACR2 and the ISSN Manual. Several SC members have also made national comments to the ISBD(CR) Revision WG.

Projects

Hartmut Walravens gave a summary on the ongoing project Basic Serials Management Handbook. The Russian translation made by I. J. Bagrova has now been updated and is waiting to get printed. There are some plans to make the Russian translation available on the Internet. Catherine Omont is working on the French translation consulting with the author, Judith Szilvássy, and she will be able to finish it in a near future. Elena Garcia-Puente was thanked for her work with the Spanish translation.

The two current projects deal with the updating the Directory of the National Union Catalogues and developing the Directory of Articles Databases, Indexing and Abstracting Services.

Agneta Holmenmark reported on the project of updating the Directory on Union Catalogues. The questionnaires have been prepared and will be sent to the union catalogues already included in the directory. Furthermore, we will continue to contact libraries with union catalogues that have not yet been included to see if

they are interested in participating. There are some technical problems to be solved but in co-operation with Elizabeth Gazdag and Sara Gould at the UAP there should be some progress made by the end of this year.

Hartmut Walravens reported on the Directory of Articles Databases, Indexing and Abstracting Services. The work with this project will be started in October.

Next IFLA Conferences, programme planning

The open session this year has been organized by Marjorie Bloss. The planned workshop on serials management had to be cancelled.

Program planning for Glasgow resulted in proposals on keeping the idea of having a workshop on serials management and an open session on harmonization of the cataloguing rules. The open session should be done in co-operation with the Section on Cataloguing. Karen Darling was asked to identify speakers from the three revision groups. It was also decided that Marjorie Bloss or Hartmut Walravens should contact Cataloguing Chair and discuss these ideas.

Some ideas concerning the programme planning for Berlin were about managing e-journals and licensing. Marjorie Bloss suggested that at the next committee meeting we should brainstorm about topics for workshops, programmes and discussion groups.

Financial report

To save time for the discussion on the strategic plan at our next meeting it was decided to have the financial report here.

Agneta Holmenmark gave a report on finances:

The balance by July, 2001, is:
NLG 3 874 (SEK 16 014).
Bills to be paid concerning the two projects will by the end of the year be:
NLG 3 640 (SEK 15 160).
The amount remaining will be:
NLG 199 (SEK 834).

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Friday, 24th August, 2001

Attendants:

SC members: Marjorie Bloss (Endeavor Info Systems, USA), Karen Darling (University of Missouri-Columbia, USA), Jean Farrington (Lehigh University, USA),), Elena Garcia-Puente Lillo (Biblioteca Nacional, Spain), Elizabeth Gazdag (ISSN Hungary), Helen Heinrich (Getty Research Library, USA), Agneta Holmenmark (National Library, Sweden), Nina Khakhaleva (Russian State Library), Cathérine Omont (Bibliothèque Nationale de France), Hartmut Walravens (Berlin State Library, Germany);

Observers: Alain Roucolle (ISSN International Centre, France), Carlen Ruschoff (University of Maryland Libraries, USA), Christina Stotzenbach (BIUM, France).

Medium Term Programme

Hartmut Walravens made an introduction and pointed out the two main issues for the meeting. First we should discuss and update the goals and actions of our new Strategic Plan. Secondly, we should have time for brainstorming on ideas for future workshops, programmes and discussion groups.

Marjorie Bloss pointed out that we should compare and tie our activities to IFLA's Professional Priorities. The headlines are listed below:

IFLA's Professional Priorities:
- ◦ Supporting the Role of Libraries in Society
- ◦ Defending the Principle of Freedom of Information
- ◦ Promoting Literacy, Reading, and Lifelong Learning
- ◦ Providing Unrestricted Access to Information
- ◦ Balancing the Intellectual Property Rights of Authors with the Needs of Users
- ◦ Promoting Resource Sharing
- ◦ Preserving Our Intellectual Heritage
- ◦ Developing Library Professionals
- ◦ Promoting Standards, Guidelines, and Best Practices
- ◦ Supporting the Infrastructure of Library Associations
- ◦ Representing Libraries in the Technological Marketplace

The professional priorities are available both in print and on IFLANET: http://www.ifla.org/V/press/pr02-01.htm

The discussion was based on the previous scope, goals and actions confirmed in the Medium Term Programme 1998-2001, revised for the period 2002-2003. It was decided to start by looking carefully at the goals and then revise and update the actions.

Scope

The most part of the text remains but the last sentence was changed to:

… The Section is committed to working closely with *the appropriate Core Activities and Standing Committees within IFLA.*

Goal 1

Promote the importance of good practice in library serials collection management and development.
It was decided to change the wording of good practice to *best* practice and merge goal 1 with goal 5. The new wording would be: **Promote the importance of *best* practice in library serials collection management and development *and provide information on the impact of new technology.***

Goal 2

Work towards the improvement of national and international co-operation on access to serials holding information.
It was considered serials holdings information to be a too narrow goal and it was suggested to make a more general wording: **Work towards the improvement of national and international co-operation on access to *serials information to include bibliographic information, holdings, abstracting and indexing services, etc.***

Goal 3

Promote the importance of, and improvement to, national and international standards for serials.
The goal remains in force

Goal 4

Work with publishers, suppliers, Serials Interest Groups and IFLA Sections to promote cooperation within the serials information chain.
There was a discussion if it would be better to be more general about the groups and it was decided to change the wording to: **Work with *a variety of groups outside IFLA (e.g. publishers, authors, vendors, suppliers, serials interest groups) and IFLA Sections* to promote co-operation within the serials information chain.**

Goal 5

Monitor and provide information on the impact of new technology on serials management.
This goal was merged in Goal 1.

Goal 6

Raise the profile of the Section by improved dissemination of information about the programmes, publications and activities of the Section, attract and involve new members.

The wording was changed to: **Raise the profile of the Section by *improving* dissemination of information about the programmes, publications and activities of the Section *and by attracting and involving new members*.**

Goal 7

Monitor and collect information on serials resources on the Internet.

This goal should be an action or a project.

Goal 1
Actions:

1.1 Produce French translation of the Section´s Basic Serials Management Handbook.

Catherine Omont is working on the translation and her opinion is that it will be completed within the period of the strategic plan. The wording was changed from produce to complete: ***Complete* French translation of the Section´s Basic Serials Management Handbook.**

1.2 Investigate the feasibility of preparing a revision of Basic Serials Management Handbook.

Hartmut Walravens informed that Esther Skaarup has looked at the text and in her report she has suggested certain chapters that should be revised. It was decided that in September Hartmut Walravens will contact Judith Szilvássy, the author of the handbook and Honorary Member of the SC, to have her opinion on the working process. It was also decided that we should form a group of serials people working together with Judith Szilvássy. Karen Darling, Jean Farrington and Helen Heinrich volunteered. The wording of the action was changed to**: *Prepare a revision of Basic Serials Management Handbook*.**

1.3 Continue to work closely with the Round Table of Newspapers.

Because of the changed rules concerning the future position of round tables and discussion groups it was decided to change the action. The wording was changed to: ***Enter a discussion with the Round Table on Newsletters regarding its future position within the IFLA structure.***

1.4 Organize a workshop or conference programme on basic serials management, e.g. in Eastern Europe.

This action is connected to the Russian translation of the handbook. Nina Khakhaleva stressed that it is still is very important to organize a workshop or conference programme on this issue. One suggestion was to have a pre-conference in Berlin 2003. Another was to have it as a special event in Russia. It was decided to ask Nina Khakhaleva to investigate how it could be organized and to inform us about the details by the end of September. The wording of the action was changed to: ***Publish the Russian translation of the Basic Serials Management Handbook and organize a following workshop or a conference programme.***

Goal 2
Actions:

2.1 Continue work on serials union catalogues in association with UAP Core Programme Activity to update and perhaps redesign the Directory of the Union Catalogues.

As we hope to finish this activity during the current period the wording was changed: ***Complete* work on serials union catalogues in association with UAP Core Programme Activity to update and redesign the Directory of Union Catalogues.**

2.2 Continue to disseminate information about union catalogues initiatives with emphasis on bibliographic and holdings information by the inclusion of papers in Section´s conference programme.

…union catalogue initiatives… was changed to …*serial initiatives...:* **Continue to disseminate information about *serial initiatives* with emphasis on bibliographic and holdings information by the inclusion of papers in Section´s conference programme.**
During later discussion the action was deleted.

2.3 Prepare a Directory of Articles Databases and Current-Awareness Services for Serials Contents.
The action remains in force.

Goal 3
Actions:

3.1 Participate in the revision of ISBD(CR) as well as related work in collaboration with the Cataloguing Section and the ISBD Working Group.
The action remains in force.

3.2 Continue close liaison with the ISSN International Centre and support development on OSIRIS.
The action remains in force.

3.3 Monitor the development and application of national and international standards, including SICI and DOI, by involvement in relevant working groups.
The wording in the last part of the text was changed: …including SICI, *DOI and UNIMARC for holdings...* → **Monitor the development and application of national and international standards, including SICI, DOI and *UNIMARC for holdings*.**

3.4 Strengthen the co-operation with UDT.
The action was deleted.

Goal 4
Actions:

4.1 Discuss further collaboration with the Section on Acquisition & Collection Development, on survey of the costs of licensing agreements versus subscription costs.
The action remains in force.

4.2 Continue participation in meetings and activities towards closer co-operation with publishers and subscription agents.
The action remains in force.

4.3 Continue close liaison with other serials related organisations such as the UK Serials Group, the North American Serials Group (NASIG) and German Serials Interest Group (GeSIG).
The action remains in force.

Goal 5
Actions:

The action was deleted and the Goal 5 was merged with Goal 1.

Goal 6
Actions:

6.1 Improve the Section´s Newsletter by inclusion of reports from appropriate open sessions and workshops.

After a discussion it was decided to update the wording of the action: ***Continue the inclusion of reports on appropriate open sessions and workshops in the Section's Newsletter.***

> *6.2 Ensure that the Section´s Information Co-ordinator mounts further information about the Section and its activities on IFLANET.*

It was decided to add: *Continue to...* in the beginning of the sentence: **Continue to ensure that the Section´s Information Co-ordinator mounts further information about the Section and its activities on IFLANET.**

Marjorie Bloss proposed an additional action and it was added to Goal 6:

> *6.3 Proactively contact the Chairs of serials interest groups to promote the Section and attract new members.*

Helen Heinrich pointed out the necessity of improving the information about the Section on our homepage. The suggestion was to have instructions for how to become a section or committee member, some statistics etc. Hartmut Walravens agreed and this most likely should be covered by Action 6.2. Unfortunately we did not have time to discuss this important issue and it was decided to just take it to the minutes and leave it for further consideration by the Information Co-ordinator Elizabeth Gazdag.

Goal 7
Actions:

> *7.1 Collect the data and make them available on IFLANET.*

It was suggested to move the aim of this action to Goal 1 and form a new action: 1.5 ***Creation of a serials resource referral page***. Karen Darling and Helen Heinrich volunteered to create a lay-out for the page and called for contributions from the other SC members. It is important to have contributions from all over the world.

> *7.2 Organize a hands-on workshop on the subject, preferably in co-operation with another appropriate IFLA Section.*

The action was deleted.

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> For reasons of time the following items on the Agenda could not be discussed:
> - Other activities (Publications, brochures, etc.)
> - Report from the Information Co-ordinator (website, newsletter etc.)
> - ISBD(CR) Revision (Part 2)

Summary of the Professional Programme of the IFLA Section on Serial Publications held in Boston

67th IFLA Council and General Conference, Boston, Massachusetts, USA, August 16-25, 2001

Tuesday, 21 August 2001, 12:30-15:00
Theme: *"From Bibliographic Access to Content with a Click of a Mouse"*

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The Standing Committee on Serial Publication sponsored a very interesting open meeting at this year's IFLA General Conference held in Boston, Massachusetts, USA in August. The title of the session was „*From Bibliographic Access to Content with a Click of a Mouse*"

Everyone who works with serials is concerned about how the serials world is changing because of the ever widening array of electronic products. All of us are struggling with making good decisions on what to offer our patrons. We are working to maximize our serials budgets. We are trying to provide good and accurate information in our catalogues and allow our patrons to gain access to information in as quick a way possible and as accurately as possible.

At the same time, library users are changing their search and usage strategies, as more research information becomes available on the Web. It is now possible for a user, sitting at his or her home computer, to identify a journal article (bibliographic access) and to receive the contents of the desired article with a click of a mouse.

We are witnessing the effect of these changes on the various players in our profession – Acquisitions and Cataloguing Services, Reference and ILL librarians, the publishing and library vendor world. Our speakers at the open meeting each brought us information about a different part of the chain and explained his or her company's or library's use of the tools they have available.

The speakers and titles of their papers were:
- *CrossRef: a Publisher's Perspective*
- KAREN HUNTER (Elsevier US, USA)
- *Aggregation vs. Facilitation: an agency response to e-journal aggregation*
- KNUT DORN (Otto Harrassowitz Publishers and Booksellers, Wiesbaden, Germany)
- *From Bibliographic Database to Content – New Aspects for Library Work, New Aspects for Research, Education and Information Provision*
  DIANN RUSCH-FEJA (Director, Library, Max-Planck-Institut für Bildungsforschung, Berlin, Germany)

Karen Hunter from Elsevier gave a presentation on CrossRef, an online service linking citations to the full text of articles. She talked about the types of participants, history of the project and its operational principals, emphasizing the use of DOI (Digital Object Identifier) as its cornerstone.

Knut Dorn from Harrassowitz gave a presentation, entitled „*Aggregation vs. Facilitation: an agency response to e-journal aggregation*". His presentation focused on the reasons why Harrassowitz decided not to participate in providing e-journal aggregation. While naming some of the benefits of the aggregation to the libraries, such as simplicity of database management for the libraries and seamless access for the user, Knut Dorn also covered such drawbacks, as loss of library control over collection development, incomplete date and subject coverage, unpredictable content, etc. He revealed three directions where Harrassowitz will provide help to libraries instead of aggregation: service in support of acquisition of e-journals, support in the development of standards to facilitate seamless access and assistance in implementing local solution for aggregation.

Diann Rush-Feja gave a presentation on: „*From Bibliographic Database to Content – New Aspects for Library Work...*" about the use of e-journals in Max Planck Research Institute and its impact on users and library. She also talked about the results of an electronic survey of Max Planck Society Researchers aiming to evaluate the use and acceptance of the electronic journals. The online survey was conducted in 1999 and included usage statistics from Elsevier, Springer and Academic Press. For more information on the survey see the October 1999 issue of D-Lib Magazine located at: *http://www.dlib.org/*.

It was a worthwhile program and all attendees left with a much better understanding of these various parts of the serials chain.

Highlights of the 26th Meeting of Directors of ISSN Centres, Bern, Switzerland, September 2001

Alain Roucolle, Head, Bibliographic Section
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ISSN International Centre (Paris, France)

The Meeting was held in Bern, from 12 to 14 September 2001, thanks to the invitation of the Swiss National Library and the Swiss ISSN Centre.

The participation at this meeting was important since 30 ISSN Centres were represented as well as observers from the UNESCO, IFLA, the ISBN International Agency and ABES (French Union Catalogue).

The main part of the meeting was devoted to the revision of the ISSN Manual. Two years ago, the ISSN Network has undertook the revision of the ISSN cataloguing rules. This revising process, which is now reaching its conclusion, was managed by a Working Group and had two major objectives:

- □ to adapt the ISSN assignment scope to the new electronic resources (e-serials and e-integrating resources);
- □ to improve – whenever possible – the harmonization between the three main cataloguing standards for continuing resources: AACR2, ISBD(S) – changing its name to ISBD(CR) – and ISSN.

The first complete working draft of the ISSN Manual was dispatched for comments to all ISSN Centres. The resulting conclusions and other proposals, discussed during the meeting, concern the following topics:

Definitions

The same definitions will be used in the three cataloguing standards, in particular the main concepts which are at the core of the revision process in the three standards: *bibliographic resource, continuing resource, serial* and *integrating resource*.

Scope of ISSN

The scope has been broadened to include "*ongoing integrating resources*". Newsletters of events and loose-leaf publications are also included in the scope of ISSN assignment.

Exhaustivity continues to be a desirable objective in the registration of serials. However, a principle of selectivity will be applied when assigning ISSN to integrating resources.

Punctuation

The punctuation of ISSN records will now follow the punctuation of ISBD(CR).

Basis of the ISSN registration

The same rules will be applicable in the three cataloguing standards. For serials, the first or the earliest available issue will be used for the registration. For integrating resources, the current iteration of the resource will be preferred for the registration.

Sources of key title

As the key title will be based on the title proper, qualified if necessary in order to be made unique, the sources used for establishing the key title will be the same as the sources used for the title proper.

Changes in the continuing resources requiring a new registration

New rules on the processing of title changes were adopted by the three standards, in particular specific rules on major/minor title changes which should reduce in some cases the number of new records. For serials as such, the same rules of title changes will be applicable in the three standards which will thus be based on the same cataloguing principle of successive entry.

For integrating resources, the ISSN Network has not taken any definitive decision on the entry cataloguing principle and wishes to test the different options (successive or latest entry). Unfortunately, full harmonization is not yet completely achieved on this subject.

Establishment of the key title

The key title will now be based on the title proper completed by specific rules on qualifying elements.

Other important changes

- □ *Numbering* will be introduced as a new optional element into the ISSN record.
- □ *Dates* in linking fields and variant title fields will be included to record time periods for the

corresponding data elements.

The final version of the ISSN Manual will be prepared and dispatched to all ISSN Centres which will have to check with the AACR2 and ISBD(CR) communities when their libraries plan to implement the changes of the standards in their cataloguing practice and computer systems. Therefore, the definitive implementation of the new ISSN Manual will be decided next year.

Editor of this issue: Elizabeth Gazdag, Information Co-ordinator for IFLA Section on Serial Publications